Middlesex County Community Foundation
ANNUAL REPORT

BUILDING A STRONG FOUNDATION 2005
THE MIDDLESEX COUNTY COMMUNITY FOUNDATION IS A NON-PROFIT ORGANIZATION DEDICATED TO IMPROVING THE QUALITY OF LIFE IN MIDDLESEX COUNTY. OUR MISSION IS TO WORK WITH CHARITABLY MINDED INDIVIDUALS AND ORGANIZATIONS, TO BUILD PERMANENT ENDOWMENTS AND OTHER CHARITABLE FUNDS AND TO SUPPORT LOCAL NON-PROFIT ORGANIZATIONS THROUGH EFFECTIVE GRANTMAKING TO ADDRESS COMMUNITY NEEDS.

PHOTO CAPTIONS

(01) CAMP HAZEN YMCA STUDENTS TACKLE NEW SKILLS. NANCY DIONNE, PHOTOGRAPHER/VALLEY COURIER

(02) CHILDREN AT CAMP HAZEN YMCA REACH NEW HEIGHTS. NANCY DIONNE, PHOTOGRAPHER

(03) MARCO GAYLORD, MIDDLETOWN SCHOOLS MUSIC COORDINATOR, AND RUTH MONTGOMERY, FOUNDATION VOLUNTEER, CELEBRATE THREE YEARS OF THE SWAIM STRING PROGRAM.

PATTI ANNE VASSIA AND PETER BAGLEY, UCONN’S DIRECTOR OF CHORAL ACTIVITY, WITH ERHEN BROWN (LEFT), THE FIRST JOAN MATZ MUSIC SCHOLARSHIP RECIPIENT.

SATURDAY FAMILY PROGRAM CREATES ART FROM EGGS AT THE GREEN STREET ART CENTER.

ELEMENTARY SCHOOL STUDENTS TAKE TIME OUT FROM ECOLOGY DISCOVERY PROGRAM.

HABITAT FOR HUMANITY VOLUNTEERS BUILD A NEW AND LASTING HOME.

(04) A HOUSE TAKES SHAPE WITH THE CONCERTED EFFORT OF HABITAT FOR HUMANITY VOLUNTEERS.

(05) PARTNERS IN ART AT TRACY ART CENTER IN OLD SAYBROOK.

BUILDING A WIGWAM AT YMCA CAMP INGERSOLL IN PORTLAND.

BRUCE FRASER OF THE CONNECTICUT HUMANITIES COUNCIL ADDRESSES HERITAGE ENHANCEMENT GRANT RECIPIENTS AT WADSWORTH MANSION RECEPTION.

ENTRANCE TO BOTANICAL WALK THROUGH THE CHESTER’S CARINI PRESERVE.

(07) THE BURNHAM BROOK PRESERVE IN EAST HADDAM IS PROTECTED NOW AND IN THE FUTURE THANKS TO THE VISION AND GENEROSITY OF ESTHER AND DICK GOODWIN.

(11) FREEDOM TO MOVE, FREEDOM TO GROW AT MARC COMMUNITY RESOURCES SATURDAY RECREATION PROGRAMS.

(12) NATHAN HALE-RAY HIGH SCHOOL, WHERE STUDENTS BUILD BRIGHTER FUTURES THANKS TO THE ASHWORTH FAMILY SCHOLARSHIP FUND.

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We have been committed to building a strong Foundation since our beginnings in 1997 to benefit the organizations, donors and people of Middlesex County. Our Foundation is growing stronger with the support of generous givers from many different sectors of the county. We are grateful to all who have added building blocks to our Foundation. It truly takes a community to build a strong Foundation for today and tomorrow.

2005 has been a year of building for the future. With the diligent work of our committed Board of Directors and volunteers we have … invested in the community with a record $103,000 in competitive grants to improve services and programs across the county … received significant new planned gifts that provide assurance to donors that their charitable interests will be monitored in perpetuity, while providing for future endowment growth … enhanced our infrastructure by updating our polices and procedures and gaining compliance with new National Standards for U.S. community foundations … grown our endowment with positive investment returns … improved non-profit capacity building by offering a well attended Grantseekers Workshop with Liberty Bank Foundation … held a successful “Stardom Then & Now” event to benefit the Fund for Women & Girls … enhanced partnerships with donors, organizations and companies … surpassed this year’s Administration Challenge provided by The Clark Group, Middlesex Mutual Assurance Company and Whelen Engineering … attracted new volunteers to complement founding volunteers and board members.

We have a solid foundation and are positioned for the growth that the community and donors of Middlesex County deserve. We hope you will consider establishing a Fund, help us meet our administration challenge or call us to help you plan a gift for the future benefit of our community.

Herbert T. Clark III
BOARD CHAIR

Patti Anne Vassia
PRESIDENT
Sound Administration Meets National Standards

The Community Foundation has been recognized by the Council on Foundations for having organizational and financial practices which meet the newly established National Standards for U.S. community foundations.

Although one of Connecticut’s newest and smaller community foundations, we are among the first in the State to pass the rigorous evaluation by nationwide reviewers of our policies and procedures against 38 National Standards. We believe that meeting these standards further demonstrates our commitment to accountability, excellence and service to our donors, grantees and community. Our Community Foundation is also among the first in the nation to be granted use of the National Standards Seal, a symbol of our dedication to excellence.

Women Supporting Women

The Fund for Women and Girls Committee sponsored a successful benefit event, “Stardom Then & Now”, chaired by Nan Zimmer, on November 6. Jeanine Basinger, Curator of the Wesleyan University Cinema Archives and Chair of the Film Studies Department, fascinated an audience of over 150 with inside stories of female Hollywood stars in the 1930s through 1950s. Proceeds of $18,000 from this event helped grow the endowment and provide monies for grantmaking. Total grants of $10,000 were awarded in 2005, a 48% increase over the prior year. See the 2005 List of Competitive Grants (page 8) for more detail about seven grants which empower women and girls to reach their potential.

Reaching Up, Reaching Out

Our new website was launched in April, 2005. The website is an informative resource for non-profit organizations who are seeking grants, individuals interested in creating a Fund to benefit Middlesex County, or professional advisors wishing to provide clients with sound alternatives to meet their philanthropic objectives, now and in the future. Learn more about our Foundation at www.MiddlesexCountyCF.org. The website recently received a Silver Award in the Wilmer Shields Rich Awards Program for Excellence in Communications sponsored by the Council on Foundations.

Building the Capacity of Non-Profit Organizations

In May, the Community Foundation co-sponsored a Grant Seekers Workshop with Liberty Bank Foundation. The 105 representatives from over 70 non-profit organizations received valuable advice from the Community Foundation and five other local grantmaking organizations about how to write more successful grants and obtain much needed financial support.
Income from endowed funds will be our primary source of grantmaking dollars in the future. While our endowment grows, we have been able to make significant grants due to the generous support from organizations, our philanthropic partners in grantmaking.

CONNECTICUT HUMANITIES COUNCIL has provided $50,000 to enhance heritage and cultural organizations since our collaborative grantmaking partnership began in 1999. In addition to annual funding, the Council provides consultation to improve heritage grantmaking. This innovative grantmaking collaboration was the first in Connecticut and now serves as a statewide model.

RIVER VIEW CEMETERY in Essex has provided over $100,000 for grants in the past five years. Grants from these funds support the arts, cultural, educational and human service organizations serving the Lower Connecticut River Valley. In addition, a $100,000 unrestricted endowed River View Cemetery Fund established in 2001 is providing funds for unrestricted grantmaking.

CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY (CHEFA) has partnered with the Middlesex County Community Foundation since 2003 to fund nonprofits providing essential health, educational, cultural, and childcare services in the county. In June 2005, CHEFA awarded $42,725 to the Rushford Center to provide positive youth development programs and $54,035 to Middletown’s “Opportunity Knocks” Collaborative to improve health care for at-risk children. This grantmaking partnership, which requires a nomination by the Community Foundation, has brought a total of $246,760 in CHEFA funds to our community.

THE ANN T. D’ADDARIO FAMILY FOUNDATION AND CITIZENS BANK have generously provided $10,000 in unrestricted funds and $5,000 for Middletown Neighborhood Enhancement, respectively, in 2005, bringing their total contributions to $30,000.

WE HAVE ALSO BENEFITED FROM THE GENEROSITY OF THE CLARK GROUP, MIDDLESEX MUTUAL ASSURANCE COMPANY AND WHELEN ENGINEERING, OUR PARTNERS IN GROWING A STRONG FOUNDATION ADMINISTRATION. THEY HAVE COLLECTIVELY PLEDGED $125,000 TO HELP US RAISE MUCH NEEDED ADMINISTRATIVE SUPPORT WITH A $1 FOR EVERY $2 GIVEN OR PLEDGED FOR THE NEXT SEVERAL YEARS. THIS YEAR’S CHALLENGE WAS SURPASSED WITH OVER $49,000 DONATED BY NON-BOARD MEMBERS.
All funds may be added to by anyone and may be built over time.

Endowed Funds: Unrestricted Funds
Contributors who wish their donations to have the broadest perpetual impact in improving the quality of life in our community provide planned or direct gifts for the Foundation’s unrestricted endowment. These funds provide the most flexibility to the Board in making grants to address the concerns of the day. Named Funds may be established with a $5,000 gift.

Restricted Funds: Field of Interest Funds
Field of Interest Funds support a specific purpose, geographic area or charitable goal. Gifts to these funds specify broad areas such as the arts, education, the environment, health care or services to a specific town or region. Named Funds can be established with a donation of $20,000 or more.

Designated and Donor Advised Funds
Specified charitable organizations receive income from Designated Funds. Named advisors make recommendations for grants from Donor Advised Funds. Both types have $20,000 minimums.

Organizational Funds
An organization, wishing to take advantage of the Foundation’s investment expertise, may establish an Organizational Fund by transferring part or all of its endowment to be held and managed by the Community Foundation. The income from Organizational Funds may help increase the endowment or be paid annually as a grant to the organization.

Unendowed Funds
Unendowed Donor Advised Funds can be established to provide tax advantages in one or more years while donors decide where their charitable contributions will best meet their giving objectives. The principle of these funds may be distributed. Foundation staff provide information to help donors, if they wish. Donor Advised Fund distribution recommendations must be approved by the Board to maintain IRS compliance for the tax advantages received when making gifts. Income earned by these Funds helps support Foundation operations.
The Foundation’s mission of improving the quality of life in Middlesex County along with its investment policies and sound stewardship practices attracted donors to establish three new endowed Funds with major impact for the future. The donors of all new Funds have a vision for improving their community. The Foundation provides the vehicle for transforming their vision into tangible results now and forever.

### Ashworth Family Scholarship Fund
The Ashworth family established this Scholarship Fund in memory of Heather Lind Ashworth, a long time librarian at Hale-Ray High School in East Haddam. Scholarships from the Fund, determined by Hale-Ray teachers and staff, will begin when the Fund is augmented by a future planned gift. Additional unendowed donations will make it possible for scholarship awards to begin in 2006. Scholarships will be made to graduating Hale-Ray seniors pursuing further education who display good citizenship, financial need and academic achievement.

“Young people in Moodus and East Haddam deserve a chance for a higher education. I am glad we are able to help these capable students have greater opportunity. The Community Foundation has made it easy to establish this scholarship fund and manage it with minimum costs in perpetuity.”

RONALD S. ASHWORTH

### Fund for Preservation and Stewardship of Natural Areas
Richard H. and Esther B. Goodwin established this Fund to support the preservation and stewardship of the Burnham Brook Preserve in East Haddam. It is their intention to make future bequests to this Fund. Income from the Fund will be used to make grants to The Nature Conservancy (TNC) to supplement distributions from existing endowed funds for the Burnham Brook Preserve at TNC. A special Fund Committee of the Foundation will review information provided by TNC and present recommendations to the Foundation Board of Directors for approval. Grants to other organizations for preservation and stewardship of natural areas in the lower Connecticut River Valley and other areas may also be made.

“The Burnham Brook Preserve protects a significant tract of wild land in the watershed of the Eightmile River, now a candidate for the designation as a Wild and Scenic River. We have established this fund especially to help The Nature Conservancy give quality stewardship to the preserve and to encourage the volunteer activities of its stewardship committee.”

RICHARD H. GOODWIN

### Foundation Administration Fund
In December, an anonymous donor established an Administration Fund to help the Foundation become self-sustaining. Recognizing the challenge of raising administrative dollars, the donor established this Fund to enable the Foundation’s staff and leadership to focus on its mission: building endowed funds for the benefit of the community. The donor’s hope is that others will join in building this Administration Fund as a wise investment in the future of the Foundation and the quality of life in Middlesex County.

### New Funds Being Built Over Time

#### Anonymous Designated Fund
A generous donor has established a designated fund which is being built over time to purchase large print books for Russell Library.

#### Saunders Family Fund
New board member Anita Ford Saunders is building an unrestricted fund over time to benefit the community’s changing needs.
## 2005 Approved Grants

### Grants for the Arts

<table>
<thead>
<tr>
<th>Grant</th>
<th>Amount</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Concora</td>
<td>$2,500</td>
<td>Multicultural choral program in Middletown elementary schools.</td>
</tr>
<tr>
<td>Essex Art Association</td>
<td>$2,690</td>
<td>Open show to celebrate its 60th Anniversary.</td>
</tr>
<tr>
<td>Oddfellows Playhouse</td>
<td>$1,500</td>
<td><em>Spoon River</em>, performed by the Neighborhood Troupes and Teen Repertory Company.</td>
</tr>
<tr>
<td>Tracy Art Center</td>
<td>$1,500</td>
<td>Mentorship program for middle and high school students with professional artists.</td>
</tr>
<tr>
<td><strong>Education Grants</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Durham—Middlefield Youth &amp; Family Services</td>
<td>$3,375</td>
<td>After-school program for middle school students.</td>
</tr>
<tr>
<td>Middlesex Coalition for Children</td>
<td>$3,000</td>
<td>2006 Children’s Legislative Agenda for Middlesex County.</td>
</tr>
<tr>
<td>Middlesex Community College</td>
<td>$3,500</td>
<td>Textbooks for pre-freshmen math immersion course.</td>
</tr>
<tr>
<td>Middletown Adult Education—Even Start Program</td>
<td>$1,600</td>
<td>Strengthen parents’ skills and involvement in their children's education.</td>
</tr>
<tr>
<td>Middletown Public Schools</td>
<td>$5,000</td>
<td>Help parents become better advocates and leaders for themselves and their children.</td>
</tr>
<tr>
<td>Middletown School Readiness Council</td>
<td>$4,200</td>
<td>Books to promote early literacy.</td>
</tr>
<tr>
<td><strong>Grants for the Environment</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Arbor Garden Club of Clinton</td>
<td>$1,000</td>
<td>Late 18th — early 19th century garden, providing produce for the less fortunate.</td>
</tr>
<tr>
<td>Camp Hazen YMCA</td>
<td>$3,000</td>
<td>An outdoor amphitheatre to enhance environmental programs.</td>
</tr>
<tr>
<td>Connecticut River Museum</td>
<td>$3,500</td>
<td>Education programs which explore the Connecticut River’s natural environment and cultural heritage.</td>
</tr>
<tr>
<td>Connecticut River Watershed Council</td>
<td>$2,500</td>
<td>Internship to study the biological impact of consumptive water diversion and state water allocation policies.</td>
</tr>
<tr>
<td>Essex Land Conservation Trust</td>
<td>$3,000</td>
<td>A plan for a park along the Falls River in Ivoryton.</td>
</tr>
<tr>
<td><strong>Heritage Enhancement Grants</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chester Historical Society</td>
<td>$1,950</td>
<td>Portable display units to bring artifact collection into the community.</td>
</tr>
<tr>
<td>Connecticut Society of the Sons of the American Revolution</td>
<td>$3,000</td>
<td>Interpretative markers for the Nathan Hale Schoolhouse, East Haddam.</td>
</tr>
<tr>
<td>Haddam Historical Society</td>
<td>$2,000</td>
<td>Authentic 19th century activities for a summer camp.</td>
</tr>
<tr>
<td><strong>Ivoryton Library Association</strong></td>
<td></td>
<td>Documentary and study guide on local ivory trade.</td>
</tr>
<tr>
<td><strong>Essex Historical Society</strong></td>
<td>$500</td>
<td>Historic marker for the Hills Academy in Essex Village.</td>
</tr>
<tr>
<td><strong>Human Service Grants</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>American Red Cross</td>
<td>$3,000</td>
<td>Rental and utility assistance for low income residents.</td>
</tr>
<tr>
<td>Estuary Council of Seniors</td>
<td>$5,000</td>
<td>A meal packaging machine for the Meals-On-Wheels program.</td>
</tr>
<tr>
<td>FISH for Chester, Deep River &amp; Essex, Inc.</td>
<td>$500</td>
<td>Free rides for residents needing transportation to medical facilities.</td>
</tr>
<tr>
<td>Kuhn Employment Opportunities</td>
<td>$2,000</td>
<td>Peer support for persons with mental illness in obtaining and maintaining employment.</td>
</tr>
<tr>
<td><strong>MARC Community Resources</strong></td>
<td>$5,000</td>
<td>Recreation programs for cognitively disabled children and adults.</td>
</tr>
<tr>
<td><strong>Shoreline Soup Kitchens</strong></td>
<td>$5,000</td>
<td>Coordination of services for people in need.</td>
</tr>
<tr>
<td><strong>St. Vincent DePaul Place</strong></td>
<td>$2,400</td>
<td>Emergency assistance program for rent, security deposit, medical expenses, utility bills and transportation.</td>
</tr>
<tr>
<td><strong>Westbrook Youth &amp; Family Services</strong></td>
<td>$1,000</td>
<td>Support group for teens facing sexuality and gender issues.</td>
</tr>
<tr>
<td><strong>Neighborhood Enhancement Grants</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nehemiah Housing Corporation</td>
<td>$2,000</td>
<td>Appliances for apartments for formerly homeless families.</td>
</tr>
<tr>
<td><strong>Northern Middlesex Habitat for Humanity</strong></td>
<td>$5,000</td>
<td>Rehabilitation of Huber Avenue, Middletown home.</td>
</tr>
<tr>
<td><strong>Grants for a Safer Community</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Old Saybrook High School</td>
<td>$1,000</td>
<td>Programming that combats prejudice and gender bias.</td>
</tr>
<tr>
<td><strong>Grants for Women and Girls</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Children's Home of Cromwell</td>
<td>$2,000</td>
<td>Financial management instruction for at-risk girls.</td>
</tr>
<tr>
<td><strong>Community Health Center</strong></td>
<td>$1,800</td>
<td>Furniture for the Battered Women's Shelter in Middletown.</td>
</tr>
<tr>
<td><strong>Connection, Inc.</strong></td>
<td>$500</td>
<td>Car seats and strollers for children residing with mothers enrolled in a drug rehabilitation program.</td>
</tr>
<tr>
<td><strong>Estuary Council of Seniors</strong></td>
<td>$900</td>
<td>Comprehensive health education pilot program for older women in the Valley Shore.</td>
</tr>
<tr>
<td><strong>Girl Scouts, CT Trails Council</strong></td>
<td>$2,300</td>
<td>Program for girls, ages 8–14, designed to raise their self esteem.</td>
</tr>
<tr>
<td><strong>Ray of Light Farm, Inc.</strong></td>
<td>$750</td>
<td>Program for girls to teach adults horseback riding and animal care.</td>
</tr>
<tr>
<td><strong>Rushford Center</strong></td>
<td>$1,750</td>
<td>“Mother and Daughter Evenings Out” for Middletown 6th, 7th &amp; 8th grade girls and their mothers.</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$103,615</td>
<td></td>
</tr>
</tbody>
</table>
Each year, the Foundation Board establishes focus areas which meet community
needs and donors’ philanthropic objectives for its fall grant cycle. Thanks to
our growing endowment and grantmaking partnerships, $103,615 in competitive
grants were approved by our Board of Directors for the Arts, Education,
Environment, Heritage Enhancement, Human Services, Neighborhood
Enhancement, Safer Communities and Women and Girls. In addition, the
Board approved $38,505 in designated and donor advised grants, for a record
breaking total of $142,120 in grants awarded.

### Donor Advised and Designated Grants

<table>
<thead>
<tr>
<th>Grant Recipient and Purpose</th>
<th>Amount</th>
<th>Fund and/or Advisor or Donor</th>
</tr>
</thead>
<tbody>
<tr>
<td>American Red Cross for Hurricane Relief</td>
<td>$1,000</td>
<td>Anonymous</td>
</tr>
<tr>
<td>Clifford W. Beers Guidance Clinic</td>
<td>$500</td>
<td>Howard &amp; Yvonne McAuliffe Fund</td>
</tr>
<tr>
<td>Community Health Center for an Intensive Family Preservation Program.</td>
<td>$1,250</td>
<td>Kent S. Warner Memorial Fund</td>
</tr>
<tr>
<td>CT Returned Peace Corps Volunteers</td>
<td>$1,000</td>
<td>Kent S. Warner Memorial Fund</td>
</tr>
<tr>
<td>Green Street Arts Center for the Green Street Arts Center Family Series.</td>
<td>$2,000</td>
<td>Kent S. Warner Memorial Fund</td>
</tr>
<tr>
<td>Middletown High School for the sixth annual Elizabeth Mary Pernel Memorial Scholarship awarded to Karen Ladr.</td>
<td>$100</td>
<td>Anonymous</td>
</tr>
<tr>
<td>Middletown Parks &amp; Recreation Dept. for a Grandparent Support Group.</td>
<td>$2,000</td>
<td>Kent S. Warner Memorial Fund</td>
</tr>
<tr>
<td>Middlefield Federated Church</td>
<td>$1,209</td>
<td>J. Guy Lombardo Fund</td>
</tr>
<tr>
<td>Middlesex Coalition for Children</td>
<td>$1,000</td>
<td>Kent S. Warner Memorial Fund</td>
</tr>
<tr>
<td>Middlesex Community College Foundation for Scholarships.</td>
<td>$3,200</td>
<td>Anonymous</td>
</tr>
<tr>
<td>Middlesex Community College Foundation</td>
<td>$400</td>
<td>Anonymous</td>
</tr>
<tr>
<td>Middlesex County Historical Society</td>
<td>$2,574</td>
<td>Elizabeth Swaim Middlesex County Historical Society Fund</td>
</tr>
<tr>
<td>Middlesex Hospital to support the “Opportunity Knocks” Collaborative.</td>
<td>$1,000</td>
<td>Kent S. Warner Memorial Fund</td>
</tr>
<tr>
<td>North End Action Team</td>
<td>$1,000</td>
<td>Howard &amp; Yvonne McAuliffe Fund</td>
</tr>
<tr>
<td>North End Action Team</td>
<td>$1,000</td>
<td>Kent S. Warner Memorial Fund</td>
</tr>
<tr>
<td>Northern Middlesex Habitat for Humanity for the House in a Box Project.</td>
<td>$2,000</td>
<td>Kent S. Warner Memorial Fund</td>
</tr>
<tr>
<td>Resurrection Lutheran Church for an Urban Day Camp Program.</td>
<td>$1,000</td>
<td>Howard &amp; Yvonne McAuliffe Fund</td>
</tr>
<tr>
<td>Salvation Army Adult Day Center</td>
<td>$500</td>
<td>Howard &amp; Yvonne McAuliffe Fund</td>
</tr>
<tr>
<td>St. Vincent DePaul Place for Fabian’s Place.</td>
<td>$1,000</td>
<td>Howard &amp; Yvonne McAuliffe Fund</td>
</tr>
<tr>
<td>St. Vincent DePaul Place for the Amazing Grace Food Pantry.</td>
<td>$1,000</td>
<td>Howard &amp; Yvonne McAuliffe Fund</td>
</tr>
<tr>
<td>St. Vincent DePaul Place</td>
<td>$2,500</td>
<td>Howard &amp; Yvonne McAuliffe Fund</td>
</tr>
<tr>
<td>Tri-Town Youth Services</td>
<td>$607</td>
<td>Tri Town Youth Services Fund</td>
</tr>
<tr>
<td>University of Connecticut for a Matz Music Scholarship awarded to Erhen Brown.</td>
<td>$400</td>
<td>Matz Funds</td>
</tr>
<tr>
<td>Wesleyan University for an Intern &amp; Assistant Women’s Crew Coach.</td>
<td>$7,500</td>
<td>Anonymous</td>
</tr>
<tr>
<td>World Trade Center Memorial Foundation for the September 11 Memorial in NYC.</td>
<td>$2,765</td>
<td>Infiltrator Relief Fund</td>
</tr>
</tbody>
</table>

**Total** | **$38,505**

### Grants Process

Non-profit Organizations are requested to file a two page letter of intent to apply for a grant by late September. Full proposals are requested in late October for the most promising projects. The Board of Directors approves grants by the end of December, with funding available the following year. The Foundation seeks grant proposals that add value to, and have a positive impact on, the quality of life in the Middlesex County community. The guidelines and timetable for the Fall grant cycle are found on our website: [www.MiddlesexCountyCF.org](http://www.MiddlesexCountyCF.org).
Previously Established Funds

Unrestricted Endowed Funds

Middlesex Enhancement Fund
This fund is available for all donors to make gifts of any size. Over the years, the Fund has grown substantially with memorial, anonymous and other gifts to provide grants to meet current needs and improve conditions of the county.

John A. Barr, Jr. Fund
A memorial Fund in honor of founding Foundation board member and Essex Savings Bank president, John Barr. Annual gifts from Essex Savings Bank have helped this fund increase its impact.

Bee and Missionary Society of First Congregational Church of Middletown Fund
Established in 2003 to continue their 174 years of support to organizations and worthy causes in the community.

The Couch Family Fund
Established by former board member Rev. A. Edward Couch in honor of his parents.

Edmund T. Delaney Fund
Established in his memory to support the community he loved by his wife, Barbara.

Lee and Welles Guilmarlin Fund
Established in 2002, and built over time, to meet the needs and improve the quality of life in our community.

Peter and Eileen Harding Family Fund
Recognizing the many and changing needs of the people in Middlesex County, the Hardings established this Fund to provide community support now and in the future.

Kalayjian Family Fund
Established in 2004 by Marcia and David Kalayjian to provide lasting benefit to the community to which they are devoted.

J. Guy Lombardo Fund
A memorial Fund established by Joe Lombardo in memory of his son Guy.

Sonya and Jeff Madoff Fund
Established to support changing needs in a community they love.

William McCann/Best Cleaners Fund
A memorial Fund established by Esther McCann in appreciation of our community.

Middlesex Mutual Assurance Company Fund
Established by the company to support the community of its home office.

PCI medical Fund
Established in 2002 by Phillip and Clio Coles to give back to their community now and in the future.

Preston Family Fund
Established by Barbara and David Preston to build the endowment and provide lasting benefit to the community.

River View Cemetery Fund
Established by the Essex-based cemetery to benefit the entire community.

Diane T. and William J. Russell Fund
Established by the Russells to begin their charitable giving plan.

Sams Family Fund
With knowledge of the good works of the Foundation as a former board member, David Sams and his wife Betsy established this Fund to provide continuing support and positive momentum for the future.

Jean and Biff Shaw Fund
Established by the Shaws to repay their community for the benefits they have derived from it.

Susan B. and William K. Wasch Fund
Set up by the Waschs to coordinate their giving now and in the future to the community they love.

Jan Youngblood Cook Fund
Established in 2003 to provide unrestricted support for our community now and forever.

Field of Interest Endowed Funds

Anonymous Family Fund
Supports arts organizations and initiatives with priority to Middletown organizations.

Anonymous Fund
Support of Middlesex County botanical beautification and natural beauty.

The Arts Fund
Established by Derry D’Oench and supported by many, promotes arts of all kinds countywide.

Sherry and Herb Clark Family Fund
Provides benefit to the people and communities of the Valley Shore Area.

Howard and Yvonne McAliffie Fund
Supports basic human needs and programs for the less fortunate.

Kathy and Willard McRae Fund
Supports education programs in Middletown.

Middlesex Mutual Assurance Fund
Promotes safer communities with priority to motor vehicle, marine and personal safety.

Ann and George Petry Fund
Supports local arts programs and activities, established by their daughter Liz.

Pompea Family/Primary Steel Fund
Provides support for education and future manufacturing workforce development.

Elizabeth Swaim Middletown Fund
A generous bequest started this Fund to benefit the people and the city of Middletown.

Rachel and Chuck Wiltsie Fund
Supports basic human needs and programs for the less fortunate.

Fund for Women & Girls
Established by Founding Donors and many others to empower women and girls to be self-reliant and reach their potential.

Bee and Missionary Society Fund for Women & Girls
Provides support for the Fund for Women & Girls Committee to make grants as previously described.

Designated and Donor Advised Funds

Charles Munson Burr, Jr. Family Fund
Harry Eben Burr is building this Fund over time to honor his father with scholarships for disadvantaged Wesleyan students.

J. Guy Lombardo Donor Advised Fund
A transfer from a private foundation, this fund provides grants to local charities recommended by the Lombardo family.

Robert A. Mallimson, PhD Fund
This donor advised fund was established by an anonymous donor in lasting tribute to the humanity and caring of Dr. Mallimson.

Joan Matz Music Scholarship Fund
A memorial scholarship fund for UCONN students of choral studies was established by the family and friends of Joan Matz, founder of several area choral groups.
Elizabeth Mary Pernel Memorial Scholarship Fund
Established for Middletown High School by 1965 and 1966 alumni in memory of their classmates, Ed and Terri Pernel’s, daughter.

Elizabeth Swaim Arts Enrichment Fund
A major bequest began this Fund that provides grants to enrich minds and expand the horizons of Middletown K through 12 public school students with arts programming.

Elizabeth Swaim Fund for the Middlesex County Historical Society
A bequest established this Fund to support the Middlesex County Historical Society.

Tri-Town Youth Services Fund
Started by the Whelen family to support positive youth activities in the area and to encourage others to add to the Fund, which they may do at anytime.

Wesleyan University Community Fund
(Donor Advised Fund) This Fund is just one of Wesleyan’s visible commitments to its community. Upon the University’s recommendation, grants will provide support to community activities.

Organizational Funds
Middlesex United Way Fund
The Foundation’s first Organizational Fund was established with donor-restricted endowment gifts as an example to all non-profits.

Weitzman-Vance Community Health Center Fund
The Community Health Center saw the value of supporting the Foundation and growing their endowment for the future benefit of the individuals they serve. The fund is named for two exceptional CHC volunteers, David Vance and the late Jerry Weitzman.

Gilead Community Services Fund
Insures future funding diversity for this behavioral health organization and encourages staff and board members to give to endowment to maximize a challenge match donation.

Unendowed Funds
Hugh L. and Judy R. Cox Fund
Hugh and Judy annually support charities of their choice from this Fund. Grants from this Fund have supported local organizations including Middlesex Community College where Hugh has been an active volunteer.

Kent S. Warner Memorial Fund
Established by Scotty King the Fund is named for the father of her children. Grants support local programs that maintain and support healthy families, international development efforts through state-side agencies and local programs that make a positive difference for target populations.

Howard and Yvonne McAuliffe Fund
The McAuliffe’s use this Fund to make contributions to local programs that help the less fortunate and are consistent with their values and beliefs. They often seek staff assistance to learn where needs are the greatest to maximize the impact of their charitable giving.

The Legacy Society recognizes persons who have made it known that they have made a planned gift to the community through the Community Foundation. In 2005, three new members were added with gifts totaling more than $1 million. Our total known gifts are now over $6.4 million. Known Members Include:

Anonymous (6)
Jeannette Archer-Simon & Robert E. Simons
Ronald S. Ashworth #
Rick Bellows & Barbara O’Reilly
Bonnie Bennet
Harry Eben Burr
Tim Cahill
Jean H. Caron
Richard Caron
Sherry & Herb Clark
Jan Youngblood Cook
Barbara S. Delaney
Russell G. D’Oench Jr.*
Esther & Richard Goodwin #
Elizabeth Horn
Robert L. Kirkpatrick Jr.
Arlene R. Mazzotta
Edward L. McMillan
Jane Stokes McMillan
Liz Petry
Barbara & David Preston
Sari and Howard Rosenbaum
Jean & Biff Shaw
Thomas H. Stickney
& David Westfall
Elizabeth Swaim*
Rachel M. Wiltsie
Patti Anne Vassia
Susan & William Wasch

# New in 2005
* Deceased
## Statement of Financial Position

<table>
<thead>
<tr>
<th>ASSETS</th>
<th>2005</th>
<th>2004</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash &amp; Investments</td>
<td>$2,728,112</td>
<td>$2,479,530</td>
</tr>
<tr>
<td>Pledges Receivable</td>
<td>227,539</td>
<td>345,235</td>
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<tr>
<td>Unitrust Receivable</td>
<td>24,670</td>
<td>26,296</td>
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<tr>
<td>Other Assets</td>
<td>14,912</td>
<td>18,067</td>
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<tr>
<td><strong>TOTAL ASSETS</strong></td>
<td><strong>$2,995,233</strong></td>
<td><strong>$2,869,128</strong></td>
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<table>
<thead>
<tr>
<th>LIABILITIES &amp; NET ASSETS</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>LIABILITIES</strong></td>
<td></td>
</tr>
<tr>
<td>Funds Managed for Others</td>
<td>$295,167</td>
</tr>
<tr>
<td>Grants Payable</td>
<td>121,561</td>
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<tr>
<td>Expenses Payable</td>
<td>4,455</td>
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<tr>
<td><strong>TOTAL LIABILITIES</strong></td>
<td><strong>$421,183</strong></td>
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<table>
<thead>
<tr>
<th>NET ASSETS</th>
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<tbody>
<tr>
<td>Unrestricted Net Assets</td>
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</tr>
<tr>
<td>Donor Designated</td>
<td>$555,623</td>
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<tr>
<td>Donor Advised</td>
<td>225,463</td>
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<tr>
<td>Other Endowment</td>
<td>1,427,820</td>
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<td><strong>Total Endowment</strong></td>
<td><strong>$2,208,906</strong></td>
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<tr>
<td>Unendowed</td>
<td>75,545</td>
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<tr>
<td>Administration</td>
<td>211,403</td>
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<tr>
<td>Total Unrestricted Assets</td>
<td>$2,495,854</td>
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<tr>
<td>Temporarily Restricted Net Assets</td>
<td>78,196</td>
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<tr>
<td><strong>Total Net Assets</strong></td>
<td><strong>$2,574,050</strong></td>
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</tbody>
</table>

| **TOTAL LIABILITIES & NET ASSETS** | **$2,995,233** | **$2,869,128** |

### 2005 Investments and Performance:
Foundation investments are determined and monitored by the Investment Committee to meet the Board approved Investment Policy. Monies are invested in several of the Vanguard family of mutual funds, with cash holdings in money market accounts. For 2005, the return on investments, net of fees, was 7.24% exceeding the 5.15% proportional benchmarks of the Wilshire 5000, Lehman Aggregate, Russell 2000 and Vanguard Treasury MMA. 2005 and 2004 Audits were performed by Mahoney Sabol & Company, LLP. Copies are available upon request.

## Statement of Activities

<table>
<thead>
<tr>
<th>REVENUE</th>
<th>2005</th>
<th>2004</th>
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</thead>
<tbody>
<tr>
<td>Gifts &amp; Pledges</td>
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<td></td>
</tr>
<tr>
<td>Endowed</td>
<td>$64,433</td>
<td>$86,502</td>
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<tr>
<td>Unendowed</td>
<td>124,340</td>
<td>67,184</td>
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<tr>
<td>Administration</td>
<td>105,998</td>
<td>208,145</td>
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<tr>
<td>Inkind Contributions</td>
<td>16,302</td>
<td>14,213</td>
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<td><strong>Total Gifts &amp; Pledges</strong></td>
<td><strong>$311,073</strong></td>
<td><strong>$376,044</strong></td>
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<td>Investment Income</td>
<td>$53,806</td>
<td>$49,975</td>
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<tr>
<td>Net Realized Gains (Loss)</td>
<td>(433)</td>
<td>(541)</td>
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<td>Administrative Fees</td>
<td>3,465</td>
<td>544</td>
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<tr>
<td><strong>TOTAL REVENUE</strong></td>
<td><strong>$367,911</strong></td>
<td><strong>$426,022</strong></td>
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<table>
<thead>
<tr>
<th>EXPENSE</th>
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<tr>
<td>Grants—Unendowed funds</td>
<td>$94,931</td>
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<tr>
<td>Grants—Endowed funds</td>
<td>46,189</td>
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<tr>
<td>Functional Expenses:</td>
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<tr>
<td>Program Services</td>
<td>$67,332</td>
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<tr>
<td>Management &amp; General</td>
<td>96,085</td>
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<tr>
<td>Fundraising</td>
<td>58,925</td>
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<tr>
<td><strong>TOTAL EXPENSE</strong></td>
<td><strong>$363,462</strong></td>
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<tr>
<th>UNREALIZED GAIN</th>
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<tr>
<td>Unrealized Gain</td>
<td>88,771</td>
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<table>
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<tr>
<th>INCREASE IN NET ASSETS</th>
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<tbody>
<tr>
<td><strong>$93,220</strong></td>
<td><strong>$261,574</strong></td>
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<table>
<thead>
<tr>
<th>Net Assets at beginning of year</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Net Assets at end of year</td>
<td><strong>$2,574,050</strong></td>
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</table>
Serving the Towns of:
Chester
Clinton
Cromwell
Deep River
Durham
East Haddam
East Hampton
Essex
Haddam
Killingworth
Middlefield
Middletown
Old Saybrook
Portland
Westbrook

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Essex
Jane Stokes McMillan
Board Vice Chair
Middletown
Ralph H. Shaw II
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Middletown
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Durham
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Willard McRae
Middletown
Susan Merrow
East Haddam
Liz Petry
Middlefield
David Preston
Old Lyme
Sari A. Rosenbaum
Portland
David M. Royston
Old Saybrook
Anita Ford Saunders #
Middletown
Joan S. Youngs
East Hampton

DIRECTOR EMERITUS #
Jane Stokes McMillan

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Susan Merrow
David Preston, Chair
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David M. Royston
Anita Ford Saunders
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Cary S. Hull
Marcia L. Kalajian
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Sari A. Rosenbaum
David M. Royston
Ralph H. Shaw II
Joan S. Youngs

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Patrick J. Crowley
W. Campbell Hudson III
Marcia L. Kalajian, Chair
Robert L. Kirkpatrick Jr.

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Robert L. Kirkpatrick Jr.
Suzanne Donnelley Kitchings *
Willard McRae, Chair
Ralph H. Shaw II

Elizabeth Swaim Arts Enrichment
John Frazer
Marco Gaylord
Jennifer Hadley
Dora Hast
Ellen Lukens
Jane Stokes McMillan
Lee McQuillian
Ruth Montgomery
Cynthia Peterson
Melissa Schilke

* Resigned during the year
# Elected or appointed during the year

STAFF
Patti Anne Vassia
President
Bonnie Bennet
Director of Grants and Programs
Janice Kalkreuth
Office Manager
Mary Ann Lambert, CPA
Finance Director

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